

ANIMAL BEHAVIOUR, 2008, **75**, 1843–1850 doi:10.1016/j.anbehav.2007.12.004







# Helpers in a cooperative breeder pay a high price to stay: effects of demand, helper size and sex

**RICK BRUINTJES & MICHAEL TABORSKY** Department of Behavioural Ecology, Institute of Zoology, University of Bern

(Received 20 April 2007; initial acceptance 8 July 2007; final acceptance 22 December 2007; published online 1 April 2008; MS. number: 9365R)

'Pay to stay' is a mechanism generating cooperation in some highly social vertebrates. However, it is unknown which behaviours subordinate helpers preferentially use to pay for the commodities provided by resource owners. Helpers could either provide 'cheap' service to save costs or trade expensive actions for the benefit of being tolerated. In the cichlid *Neolamprologus pulcher*, unrelated helpers pay to stay in a safe territory of dominant breeders by performing a range of behaviours including direct brood care, territory maintenance and defence. We investigated which behaviours helpers differing in size and sex showed in response to increased demands. By presenting high or low perceived intruder pressure we tested (1) whether helpers adjust their level of payment to the intensity of competition, (2) which behaviours are used for payment, and (3) whether the response to the treatment differs between helper size and sex. All helpers performed more defence behaviour in the high-density situation and large helpers put significantly more effort into digging and defence than small helpers did in both treatments. In the low-density treatment, large helpers reacted to breeder aggression by increased submissiveness, whereas in the high-density treatment they responded by increased territory defence, as predicted by the pay-to-stay hypothesis. Helper sex did not influence their behavioural responses. Our results suggest that helpers respond to demand and large helpers pay generally more than small ones to remain tolerated in the breeders' territory.

© 2008 The Association for the Study of Animal Behaviour. Published by Elsevier Ltd. All rights reserved.

Keywords: cichlid; cooperative breeding; density; helping behaviour; Neolamprologus pulcher; pay to stay; pre-emptive appeasement

1843

Cooperation and seemingly altruistic behaviour have been a major focus of evolutionary biology for several decades (e.g. Hamilton 1963, 1964; Trivers 1971; reviewed in Lehmann & Keller 2006). At the empirical level, evolutionary explanations for helping others have been studied primarily in cooperatively breeding species (Taborsky 1984, 1994; Choe & Crespi 1997; Solomon & French 1997; Cockburn 1998; Clutton-Brock et al. 2001, 2002; Russell et al. 2003; Koenig & Dickinson 2004). In cooperative breeders, subordinates may stay in the territory of dominants because ecological constraints make dispersal unrewarding or risky (Emlen 1982; Koenig et al. 1992; Heg et al. 2004) and because of benefits that subordinates gain at home (e.g. Taborsky 1984; Wiley & Rabenold 1984; Stacey & Ligon 1991; Komdeur 1992; Balshine-Earn et al.

Correspondence: R. Bruintjes, Department of Behavioural Ecology, Institute of Zoology, University of Bern, Wohlenstrasse 50a, CH-3032 Hinterkappelen, Switzerland (email: rick.bruintjes@esh.unibe.ch). 1998; Kokko et al. 2001). In an alternative approach, the role of life history traits such as longevity and intrinsic dispersal tendency has been emphasized to explain the evolution of cooperative breeding (Arnold & Owens 1998; Hatchwell & Komdeur 2000; Covas & Griesser 2007). All three proposed explanations emphasize different aspects of the same concept (Koenig et al. 1992; Kokko & Ekman 2002). Regardless of the ultimate causes for delayed dispersal, the question remaining is why subordinate group members delaying dispersal show helping behaviour (Komdeur 2006), especially if they are unrelated to the breeders (Dierkes et al. 2005). Helpers may gain direct fitness benefits by recruiting helpers for their own subsequent reproduction (Ligon 1983), through participation in reproduction (Taborsky 1985; Dierkes et al. 1999; Cant & Reeve 2002; Clutton-Brock et al. 2006; Heg et al. 2006) or group augmentation (Kokko et al. 2001; Shreeves & Field 2002; Heg et al. 2005). Alternatively, helpers may trade their investment for being tolerated in the territory of dominant breeders ('pay to stay'; Gaston 1978; Taborsky 1984, 1985; Balshine-Earn et al. 1998; Kokko et al. 2002; Bergmüller & Taborsky 2005; Bergmüller et al. 2005b).

If pay to stay applies, breeders should demand help according to need. However, if it is costly to evict subordinates, help will not fully compensate for the costs that breeders incur from the helpers' presence (Hamilton & Taborsky 2005). Punishment is one mechanism that might ensure that subordinates will pay their share (Kokko et al. 2002; Hamilton 2004). However, empirical evidence for punishment in animal societies is scarce (Clutton-Brock & Parker 1995; but see Boyd et al. 2003 for examples in humans). Punishment is less obvious, however, if it is anticipated by 'pre-emptive appeasement', by which sub-ordinates reduce the probability of being punished by increasing cooperation and submissive behaviour in situations where this is demanded by dominants (Bergmüller & Taborsky 2005).

We tested, in *Neolamprologus pulcher*, whether helpers increase payment in response to rising demands and, if so, whether they use rather cheap or more expensive behaviours to do so. This cooperative breeder is an endemic cichlid in Lake Tanganyika (for species descriptions of N. pulcher and N. brichardi see Grantner & Taborsky 1998; Duftner et al. 2007). A dominant pair has on average five to eight helpers plus additional offspring in their territory (Taborsky & Limberger 1981; Taborsky 1994; Balshine et al. 2001). Neolamprologus pulcher is a substrate breeder that uses holes and crevices for shelter and reproduction. Large helpers are usually unrelated to the present breeding pair, because predation results in high turnover rates of breeders and helpers stay when breeders are replaced (Taborsky & Limberger 1981; Dierkes et al. 2005). As a consequence, helpers frequently help to rear nonkin broods. Therefore, kin benefits alone are not sufficient to explain the cooperative behaviour in this species (Taborsky 1984, 1985; Brouwer et al. 2005; Stiver et al. 2005).

By confronting mature large and small subordinates with high and low perceived intruder pressure, we tested experimentally how helpers respond to varying demands. The pay-to-stay hypothesis predicts that in a high perceived density situation (1) helpers should increase the level of expensive payment; that is, they should show risky defence behaviour rather than territory maintenance such as digging sand away from the breeding shelter; (2) alternatively, helpers might increase submissive behaviour towards dominant individuals; (3) large helpers should show more helping behaviour than small helpers because large helpers are a bigger threat for the breeders with regard to territory take-over (Taborsky 1987; Balshine-Earn et al. 1998), they are more likely to parasitize the breeders' reproduction (Dierkes et al. 1999; Skubic et al. 2004; Heg et al. 2006) and they should be more efficient in defending the territory than small helpers; (4) helpers should receive more aggression from the breeding pair if helping behaviour is not increased and (5) male helpers should provide more help than female helpers because of the higher potential costs they may inflict (Taborsky 1985).

#### METHODS

# **Experimental Groups**

We conducted the experiment at the Ethologische Station Hasli, Institute of Zoology of the University of Bern, Switzerland, from 2 December 2004 to 3 March 2005 under licence of the Veterinary Office of Kanton Bern. We used laboratory-reared offspring of N. pulcher caught at the southern end of Lake Tanganyika at Kasakalawe Bay near Mpulungu, Zambia. The fish were kept in 200-litre tanks with a water temperature of  $27 \pm 1^{\circ}$ C. Water quality was kept constant, close to the values found in Lake Tanganyika (see Taborsky 1984 for details) and the light regime was 13:11 h light:dark. The tank bottom was covered with sand (30 mm, about 1 mm grain size) and the fish were fed once a day, twice a week with frozen food (consisting of daphnia, Artemia salina nauplia and chironomid larvae) and four times per week with commercial drv food (Tetramin). The experimental groups were composed of two breeders and two sexually mature helpers ( $\overline{X} \pm SD$ : small helpers:  $39.8 \pm 1.1$  mm standard length [SL]; large helpers:  $49.5 \pm 2.0$  mm SL). The two helper fish were of opposite sex in 11 of the 12 groups. Five groups consisted of a large helper male and a small helper female, six groups of a large helper female and a small helper male and one group consisted of large and small male helpers. All focal groups were combined haphazardly of unrelated individuals to prevent a potential influence of relatedness and familiarity (Taborsky 1984). This resembles the natural situation since unrelated focal groups are common because of a high breeder turnover rate (Dierkes et al. 2005). Groups were established following standard procedures. We introduced the two helper fish first. When these fish were accustomed to the new environment (after 1-4 days), two fish of breeder size were added. All breeders were >60.0 mm SL with females being at least 5.0 mm smaller than males, to resemble the natural situation (Balshine et al. 2001).

#### **Experimental Procedure**

We divided the experimental 200-litre tanks into a central 100-litre compartment and two lateral 50-litre compartments on either side by two transparent Plexiglas partitions (Fig. 1). The focal group was housed in the central compartment and was provided with four flowerpot halves positioned close together to act as breeding and hiding shelters. We waited for a minimum of 2 days before the experiments started, to let the fish habituate to the set-up. Every focal group received the high- and the low-density treatment in random order. All fish quickly habituated to the new environment, and the first focal group produced a clutch 4 days after it was established. When a breeding pair spawned, eggs were removed and used for further analyses (Taborsky et al. 2007). In total, six groups produced a clutch in the high-density treatment and seven in the low-density treatment, which indicates that the groups were not stressed by the treatments.

Both lateral compartments held eight mixed-sex aggregation fish in the high-density treatment (range 40.4  $\pm$  5.1–66.3  $\pm$  4.0 mm SL) or two mixed-sex aggregation



**Figure 1.** Experimental set-up in a 200-litre tank, viewed from the front. The dotted lines indicate clear Plexiglas partitions and the n-shaped forms at the bottom represent flowerpot halves, which were used as hiding and breeding shelters. The focal groups consisted of a breeder male (B $\sigma$ ), a breeder female (B $\circ$ ), a large helper (LH) and a small helper (SH). (a) Set-up during the high perceived density, where eight *N. pulcher* were presented on the left and right sides of the focal group. (b) Set-up during the low perceived density, where two fish were presented on the left and right sides of the focal group.

fish in the low-density treatment (small fish, i.e. always male:  $52.9 \pm 2.8$  mm SL; large fish, i.e. always female:  $68.3 \pm 4.0$  mm SL). No significant differences in aggregation fish sizes per treatment were found (independent *t* test:  $t_{193} = 0.363$ , P = 0.717). The large fish in the lowdensity treatment was always a female to reduce the chance that the two fish would pair up and defend a breeding territory. No clutches were found in the lateral compartments in both treatments, suggesting that no pair formation occurred. Mixed-sex aggregations occur naturally in Lake Tanganyika at certain locations and usually consist of mature individuals that do not reproduce because of a lack of breeding shelters (Taborsky & Limberger 1981; Taborsky 1984).

In both treatments, experimental periods lasted for approximately 8 days, with an occasional addition of 1-2 days because of (1) egg laying (N = two cases), after which no observations were made for 1 day as breeders in the egg-laying phase are more aggressive towards their helpers (Taborsky 1985), or (2) temporary helper expulsion (N = four cases), after which the main aggressor was put in a small net cage for 2-4 h, which normally led to reacceptance of the helper after the main aggressor had been released again.

To induce helping behaviour, in this case digging, we completely covered all flowerpot halves with sand four

times per test period in both treatments, but not more often than once per day. We waited 20 min before starting the behavioural recordings, because the latency to dig of helpers and the breeding pair was usually 15-20 min (personal observation). Afterwards, we recorded the behaviour of both helper fish for 10 min in random order with the software The Observer, version 3.0 (Noldus, Wageningen, The Netherlands). We recorded data randomly between 0900 and 1800 hours. Recorded behaviours (described in Taborsky 1984, 1985) included overt attacks (biting, mouth fighting, ramming, tail beating), restrained aggressive displays (fin spreading, fast frontal approach, head-down display, head jerking, opercula spreading and S-shaped bending), submissive behaviours (escaping, hook displays and tail quivering), territory maintenance (digging) and total activity (sum of all described behaviours). Overt attacks and restrained aggressive displays towards the aggregation fish were regarded as defence behaviours. In addition, every minute we scored the position of the two focal helpers and the breeders, that is, whether they were within 5 cm of the lateral compartments, in the breeding shelters or in the remaining part of the aquarium.

# **Ethical Note**

Group densities in Lake Tanganyika are often very high and groups can consist of one male breeder with up to four breeding females (Dierkes et al. 2005; personal observation) and on average five helpers per group (Balshine et al. 2001). The natural territory area of a group is on average 0.315 m<sup>2</sup> (range 0.078-1.010 m<sup>2</sup>; Balshine et al. 2001). The high perceived density used in this experiment (8 fish in 0.250 m<sup>2</sup>) thus corresponds to high natural density conditions. Almost all recorded aggressive behaviours were directed by the focal group towards the fish in the aggregation compartments, which were separated by Plexiglas partitions. Therefore, no injuries were observed, which was confirmed by daily observations of the group.

Aggregation fish might have been stressed by the attacks of the members of the focal group. However, they showed normal activity levels and engaged in aggressive displays to each other, which suggests that stress levels were comparable to those experienced in natural environments. Because group members can potentially injure each other, we provided opaque plastic tubes near the water surface to serve as shelters. Two aggregation members did not show natural behaviour, for example by carrying out continuous head-up display which may reveal increased levels of stress in this social species, and we replaced them by a conspecific of the same size and sex.

#### **Statistical Analysis**

We analysed the frequencies of all recorded behaviours and the duration of digging with repeated measures ANOVAs with treatment, sex and helper size as independent variables. When the three-way interaction effects

were not significant they were removed from the models. The residuals of the models were tested for normality with one-sample Kolmogorov–Smirnov tests for goodness of fit against a normal distribution and for homogeneity of variances Levene's tests. When necessary, data were normalized by logarithmic or square-root transformations. Data on defence behaviour were subdivided into restrained, overt and all defence and analysed multiple times. A false discovery rate control (Verhoeven et al. 2005) was applied for the defence data. Overall effects, without taking the treatment into account, were analysed with paired t tests when the data were normally distributed. When the above-mentioned transformations did not normalize the data we used Wilcoxon signed-ranks tests. All figures are presented with untransformed data. Correlations were calculated with the Pearson correlation coefficient when data were normally distributed and the variances were homogeneous; otherwise we used the Spearman rank correlation analysis. For all tests we used SPSS version 11.5 (SPSS Inc., Chicago, IL, U.S.A.). All tests are two tailed.

# RESULTS

# **Defence Behaviour**

Both small and large helpers showed significantly more defence behaviour in the high-density treatment than in the low-density treatment (repeated measures ANOVA: treatment:  $F_{1,21} = 7.929$ , P = 0.010; Fig. 2a) and no significant interaction effects were present. Overall, large helpers carried out more defence than small helpers (helper size effect), but no effect of helper sex was found (see Table 1 for statistical details).

When analysing overt and restrained defence separately, we found no difference in the frequency of overt defence between the two density treatments and there were no significant interaction effects. A clear between-subjects effect was found with regard to helper size, but not with regard to helper sex. Large helpers showed distinctly more overt defence than small helpers (Table 1).

More restrained defence was shown in the high- than in the low-density treatment by both small and large helpers, but no interaction effects were observed between treatment and helper size. Large helpers participated more frequently in restrained defence than small helpers and there was no sex difference in restrained defence frequencies.

Breeder males and females did not differ in the time spent close to the side compartments and they also showed no difference in this respect between the density treatments (Table 1). Breeders of both sexes spent significantly more time close to the aggregation fish than small and large helpers in the low-density set-up. In the high-density set-up, breeder males and females also spent more time close to the aggregation fish than small helpers did, but there was no difference between the breeders and large helpers (Table 1).

# Digging

The duration of digging was not affected by the density treatment (Table 2) and there were no significant interaction effects with sex and helper size. No overall



**Figure 2.** (a) Frequency of territorial defence (number/10 min) and (b) duration of digging (5/10 min) of small and large helpers in the low- ( $\Box$ ) and high-density ( $\blacksquare$ ) treatments. Horizontal lines are medians, boxes are upper and lower quartiles and whiskers indicate the highest and lowest values excluding extreme values and outliers. \*P < 0.05.

effect of sex was seen in relation to the duration of digging. Disregarding treatment, large helpers spent more time digging than small helpers (Fig. 2b, Table 3).

# Submissive Behaviour

No treatment differences and interaction effects were found in the frequencies of submissive behaviour shown towards all group members (Table 2). Overall, small helpers showed more submissive behaviours towards all group members than large helpers did in both density treatments, and no sex effect was observed (Table 2).

If solely the frequency of submissive behaviours towards the breeders was considered, no within- and betweensubject treatment effects were found (Table 2). The

|                                       | $F_{1,21}/t_{11}$ | Р       |
|---------------------------------------|-------------------|---------|
| Defence by helpers                    |                   |         |
| Density                               | 7.929             | 0.010*  |
| Density*sex                           | <0.001            | 0.987   |
| Density*helper size                   | 1.107             | 0.305   |
| Sex                                   | 0.962             | 0.338   |
| Helper size                           | 42.431            | <0.001* |
| Overt defence by helpers              |                   |         |
| Density                               | 1.666             | 0.211   |
| Density*sex                           | 0.819             | 0.376   |
| Density*helper size                   | 0.198             | 0.661   |
| Sex                                   | 1.336             | 0.261   |
| Helper size                           | 17.445            | <0.001* |
| Restrained defence by helpers         |                   |         |
| Density                               | 9.386             | 0.006*  |
| Density*sex                           | 0.017             | 0.898   |
| Density*helper size                   | 0.050             | 0.825   |
| Sex                                   | 0.569             | 0.459   |
| Helper size                           | 41.094            | <0.001* |
| Time breeders spent near side co      | ompartments       |         |
| Density B♂                            | -0.356            | 0.729   |
| Density B <sup>o</sup>                | -1.403            | 0.188   |
| Comparisons of time spent near        | side compartm     | ients   |
| B <sup>o</sup> versus SH Low density  | 5.237             | <0.001* |
| B <sup>o</sup> versus LH Low density  | 3.776             | 0.003*  |
| B♂ versus SH Low density              | 5.028             | <0.001* |
| B් versus LH Low density              | 3.189             | 0.009*  |
| B <sup>2</sup> versus SH High density | 2.945             | 0.013*  |
| B <sup>2</sup> versus LH High density | 1.716             | 0.114   |
| B් versus SH High density             | 2.635             | 0.023*  |
| B♂ versus LH High density             | 1.764             | 0.105   |
| B♀ versus B♂ Low density              | -1.371            | 0.198   |
| B♀ versus B♂ High density             | -0.608            | 0.556   |

 Table 1. Results of repeated measures ANOVAs testing for the amount of defence (overall, overt and restrained) with treatment, sex and helper size as independent variables

Time spent near side compartments containing aggregation fish was analysed with paired t tests.  $B \delta$  = breeder male;  $B \circ$  = breeder female; LH = large helper, SH = small helper.

After the false discovery rate control (Verhoeven et al. 2005) was implemented all P values marked with an asterisk were still significant.

frequency of submissive behaviours directed towards large helpers by small helpers was not affected by the treatment (Wilcoxon signed-ranks test: Z = -5.10, N = 12, P = 0.610).

#### **Received Aggression**

Breeders did not change aggression frequencies towards helpers in accordance with the difference in perceived density (Table 2) and no overall effects of sex and helper size were found (Table 2). When densities were considered separately, large helpers received more aggression than small helpers in the low-density treatment (paired *t* test:  $t_{11} = 2.482$ , P = 0.030). In the high-density treatment no difference in received aggression was found (Wilcoxon signed-ranks test: Z = -0.593, N = 12, P = 0.553).

# **Defence per Received Aggression**

The frequency of helper defence per received aggressive act by a breeder was significantly higher in the highdensity treatment than in the low-density treatment **Table 2.** Results of repeated measures ANOVAs testing for the duration of digging, frequencies of submissive behaviour and received aggression from group members with treatment, sex and helper size as independent variables

|  | F <sub>1,21</sub> | Р     |  |  |
|--|-------------------|-------|--|--|
| Digging  |                   |       |  |  |
| Density  | 0.874             | 0.360 |  |  |
| Density*sex                                    | 0.009             | 0.926 |  |  |
| Density*helper size                            | 0.055             | 0.816 |  |  |
| Sex  | 1.131             | 0.300 |  |  |
| Helper size                                    | 3.135             | 0.091 |  |  |
| Submissive behaviour towards all group members |                   |       |  |  |
| Density  | 2.758             | 0.112 |  |  |
| Density*sex                                    | 0.969             | 0.336 |  |  |
| Density*helper size                            | 0.104             | 0.750 |  |  |
| Sex  | 0.672             | 0.422 |  |  |
| Helper size                                    | 5.423             | 0.030 |  |  |
| Submissive behaviour directed towards B♀ & B♂  |                   |       |  |  |
| Density  | 1.002             | 0.328 |  |  |
| Density*sex                                    | 1.636             | 0.215 |  |  |
| Density*helper size                            | 0.001             | 0.979 |  |  |
| Sex  | 0.060             | 0.809 |  |  |
| Helper size                                    | 0.049             | 0.827 |  |  |
| Received aggression from B♀ & B♂               |                   |       |  |  |
| Density  | 0.422             | 0.523 |  |  |
| Density*sex                                    | 0.013             | 0.909 |  |  |
| Density*helper size                            | 0.826             | 0.374 |  |  |
| Sex  | 2.429             | 0.134 |  |  |
| Helper size                                    | 0.390             | 0.539 |  |  |
|  |                   |       |  |  |

 $B\delta = breeder male; BQ = breeder female.$ 

when helper sizes were pooled (Wilcoxon signed-ranks test: Z = -3.053, N = 17, P = 0.002). Large helpers showed a significantly higher frequency of defence per received aggressive act in the high-density treatment than in the low-density situation, whereas this was not the case for small helpers (large: Z = -2.666, N = 8, P = 0.008; small: Z = -1.260, N = 8, P = 0.208).

# Submissiveness in Response to Received Aggression

The frequency of submissive behaviour shown by small helpers towards large helpers was positively correlated

 
 Table 3. Tests for overall helper size and sex effects on the duration of digging, frequencies of submissive behaviour and received aggression (paired t tests)

|  | t <sub>11</sub> | Р     |  |
|--|-----------------|-------|--|
| Digging per                              |                 |       |  |
| Helper sex                               | -1.319          | 0.217 |  |
| Helper size                              | 2.862           | 0.015 |  |
| Submissive behaviour towards B9 & B3 per |                 |       |  |
| Helper sex                               | 0.438           | 0.670 |  |
| Helper size                              | -0.602          | 0.524 |  |
| Received aggression from B♀ & B♂ per     |                 |       |  |
| Helper sex                               | 0.898           | 0.390 |  |
| Helper size                              | 1.686           | 0.120 |  |

Treatment effects are not considered in these analyses.  $B\delta = breeder male$ ;  $B\circ = breeder female$ .

with the frequency of aggression received from large helpers, but only in the high-density treatment (Pearson correlation: high density:  $r_{10} = 0.754$ , P = 0.005; low density: r = 0.348, P = 0.267). The frequency of submissive behaviour shown by small helpers towards breeders was positively correlated with the frequency of received aggression in the low-and high-density situations (Spearman rank correlation: high density:  $r_S = 0.592$ , N = 12, P = 0.043; low density:  $r_S = 0.710$ , N = 12, P = 0.010). For large helpers a positive correlation was found between the frequency of submissive behaviour and the frequency of aggression received from breeders in the low-density situation only (high density:  $r_S = 0.025$ , N = 12, P = 0.939; low density:  $r_S = 0.631$ , N = 12, P = 0.028).

# **Total Activity**

The total level of activity did not differ between the treatments and there was no significant interaction (repeated measure ANOVA: density:  $F_{1,21} = 1.354$ , P = 0.258; density\*sex:  $F_{1,21} = 0.162$ , P = 0.692; density\*helper size:  $F_{1,21} < 0.001$ , P = 0.987). The sex of the helpers did not relate to the overall activity level, but large helpers were more active than small ones (sex:  $F_{1,21} = 2.297$ , P = 0.145; helper size:  $F_{1,21} = 5.842$ , P = 0.025).

# DISCUSSION

Our results show that all helpers carried out more defence behaviour when confronted with a high perceived density situation, whereas the frequency of submissive behaviour directed towards the breeders remained unaltered by the treatments. In addition, large helpers carried out more defence behaviour and dug for longer than small helpers, but no sex differences were found in any of the observed behaviours. These results are in accordance with predictions of the pay-to-stay hypothesis (Gaston 1978; Kokko et al. 2002), because large helpers inflict higher costs on breeders than small ones (Taborsky 1985; Dierkes et al. 1999; Heg et al. 2006). In addition, only large helpers increased territory defence in response to being attacked more by breeders in the high-density treatment, suggesting that larger helpers pay a higher price for being allowed to stay.

Can these results also be explained by purely selfish behaviour, that is, do we need to invoke the pay-to-stay concept to explain the observed pattern? If the behaviour of helpers is entirely selfish, no size-dependent differences in territory defence and digging would be predicted, but large helpers did show higher levels of these behaviours than small ones. In addition, aggression by dominant group members might cause higher submissiveness levels, but it should not raise territory defence effort. Particularly large helpers responded to the breeders' aggression by increased defence levels when demands for territory defence were high. This is in accordance with the payto-stay hypothesis, which predicts that if constraints and demands increase, the level of payment for the commodities the breeders provide should also increase (Kokko et al. 2002; Hamilton & Taborsky 2005). Large helpers should pay more than small helpers, because large helpers are a greater threat for breeders with regard to territory

take-over (Taborsky 1987; Balshine-Earn et al. 1998) and they engage more in parasitic reproduction (Dierkes et al. 1999; Heg et al. 2006). By increasing defence, helpers pay with costly behaviour, which risks injury, reduces antipredator vigilance, takes time and thereby poses opportunity costs. In addition, defence uses on average 3.6 times more energy than being inactive (Taborsky & Grantner 1998). Digging, on which large helpers spent 1.7 times more time than small helpers, raises resting metabolic rate more than sixfold in *N. pulcher* (Grantner & Taborsky 1998; Taborsky & Grantner 1998).

It is unlikely that our results can be explained by sizedependent helper benefits, since it is improbable that their continued presence in a territory defended by the breeders is more beneficial to large than to small helpers. Small helpers in particular are threatened by predators and have little chance of surviving outside a territory defended by larger group members (Taborsky & Limberger 1981), which has been shown experimentally in both the field and laboratory (Taborsky 1984; Heg et al. 2004). This also corresponds with the observation that the propensity to leave the territory deliberately increases with helper size, dependent on environmental conditions (Heg et al. 2004; Bergmüller et al. 2005a, b). In addition, the energetic costs of helping behaviours (digging and defence) apparently do not differ between helpers and breeders of different sizes (Grantner & Taborsky 1998; Taborsky & Grantner 1998). The potential to inherit the territory is greater for large than for small helpers (Balshine-Earn et al. 1998), but under natural conditions this is apparently only an option for female helpers (Dierkes et al. 2005). Therefore, even if the payoff of staying in a territory as a helper may be size dependent, it is probably the smaller helpers that benefit more, particularly because of the significant protection bonus.

Subordinate group members in cooperative breeders can appease dominants in two ways: they may show submissive behaviour or increase helping levels (Bergmüller & Taborsky 2005). In our experiments, small helpers increased submissive behaviour when attacked. Against breeders, this was true in both densities, whereas against large helpers it occurred only in the high-density treatment. This makes sense because in high densities small helpers are exposed to many potential competitors for their group position. Stiver et al. (2004) and Bergmüller et al. (2005a) showed that helpers do immigrate into groups in this species. By increasing submissiveness against aggressive large helpers, small helpers might reduce the probability of being expelled when alternative candidates are available. In large helpers, submissiveness increased with the frequency of aggression received from breeders only in the low-density situation, whereas in the high-density situation they responded with more territory defence. This confirms the results of Bergmüller & Taborsky (2005), who found a negative relation in helpers between submissive and helping behaviours after helpers had been experimentally prevented from participating in territory defence. Bergmüller & Taborsky (2005) suggested that helping behaviour serves a pre-emptive appeasement function to diminish punishment from breeders for previous abstaining from cooperation.

The relation between breeders' aggression and territory defence of helpers in our study suggests a similar functional relation. Breeders were more aggressive towards large helpers than towards small helpers in the low-density treatment, whereas in the high-density treatment large helpers increased their defence behaviour and breeders decreased their aggression to the same level as shown towards small helpers. Apparently, increased helping behaviour did not have to be enforced by the breeders through high aggression levels, which supports both the pay-to-stay and pre-emptive appeasement hypotheses.

In cooperatively breeding meerkats, Suricata suricatta, subordinates receive more aggression as they get older (Clutton-Brock et al. 2005; Kutsukake & Clutton-Brock 2006), which is in agreement with our findings that in N. pulcher larger (older) helpers receive more aggression than smaller ones in the low-density treatment. However, in meerkats female helpers receive more aggression than male helpers, whereas we found no sex differences in the level of received aggression from dominants. Male meerkats that prospect more contribute less to pup feeding (Young et al. 2005), which is similar to N. pulcher where helpers preparing to disperse reduce help (Bergmüller et al. 2005b). Only male helpers in meerkats are punished for being lazy or showing 'false feeding' (i.e. pretending to feed pups while eating the collected food themselves; Clutton-Brock et al. 2005). This suggests that pay to stay may partly explain helping behaviour in male but not in female meerkat helpers. In the eusocial wasp Liostenogaster flavolineata, older and more dominant individuals that are more likely to become the major reproductive female work less hard than individuals that are further away from the dominant position (Field et al. 2006). This is exactly opposite to our results, since in N. pulcher large helpers, which are closer to obtaining a breeding position, are paying more than small helpers (Balshine-Earn et al. 1998). The main reason for this difference may be that female helpers in L. flavolineata are not paying for being allowed to stay, as is the case in N. pulcher. In conclusion, we have shown that large subordinates pay generally more than small ones and that subordinate helpers are prepared to increase payment for being allowed to stay when demands rise by increasing defence behaviour, which bears severe costs.

#### Acknowledgments

We thank D. Heg, B. Taborsky, D. Schütz and two anonymous referees for valuable comments on the manuscript, D. Heg, R. Bergmüller and the Hasli crew for useful discussions during the experiment as well as R. Eggler and P. Stettler for help with logistics. The study was funded by the Swiss National Science Foundation (SNF Grant no. 30100A0-105626 to M.T.).

#### References

Arnold, K. E. & Owens, I. P. F. 1998. Cooperative breeding in birds: a comparative test of the life history hypothesis. *Proceedings of the Royal Society of London, Series B*, 265, 739–745.

- Balshine, S., Leach, B., Neat, F., Reid, H., Taborsky, M. & Werner, N. 2001. Correlates of group size in a cooperatively breeding cichlid fish (*Neolamprologus pulcher*). *Behavioral Ecology and Sociobiology*, 50, 134–140.
- Balshine-Earn, S., Neat, F., Reid, H. & Taborsky, M. 1998. Paying to stay or paying to breed? Field evidence for direct benefits of helping behavior in a cooperatively breeding fish. *Behavioral Ecology*, 9, 432–438.
- Bergmüller, R. & Taborsky, M. 2005. Experimental manipulation of helping in a cooperative breeder: helpers 'pay to stay' by preemptive appeasement. *Animal Behaviour*, 69, 19–28.
- Bergmüller, R., Heg, D., Peer, K. & Taborsky, M. 2005a. Extended safe havens and between group dispersal of helpers in a cooperatively breeding cichlid. *Behaviour*, **142**, 1643–1667.
- Bergmüller, R., Heg, D. & Taborsky, M. 2005b. Helpers in a cooperatively breeding cichlid stay and pay or disperse and breed, depending on ecological constraints. *Proceedings of the Royal Society of London, Series B*, 272, 325–331.
- Boyd, R., Gintis, H., Bowles, S. & Richerson, P. J. 2003. The evolution of altruistic punishment. *Proceedings of the National Academy* of *Sciences*, U.S.A., **100**, 3531–3535.
- Brouwer, L., Heg, D. & Taborsky, M. 2005. Experimental evidence for helper effects in a cooperatively breeding cichlid. *Behavioral Ecology*, 16, 667–673.
- Cant, M. A. & Reeve, H. K. 2002. Female control of the distribution of paternity in cooperative breeders. *American Naturalist*, 160, 602–611.
- Choe, J. C. & Crespi, B. J. 1997. The Evolution of Social Behavior in Insects and Arachnids. Cambridge: Cambridge University Press.
- Clutton-Brock, T. H. & Parker, G. A. 1995. Punishment in animal societies. *Nature*, **373**, 209–216.
- Clutton-Brock, T. H., Brotherton, P. N. M., O'Riain, M. J., Griffin, A. S., Gaynor, D., Kansky, R., Sharpe, L. & McIlrath, G. M. 2001. Contributions to cooperative rearing in meerkats. *Animal Behaviour*, 61, 705–710.
- Clutton-Brock, T. H., Russell, A. F., Sharpe, L. L., Young, A. J., Balmforth, Z. & McIlrath, G. M. 2002. Evolution and development of sex differences in cooperative behavior in meerkats. *Science*, 297, 253–256.
- Clutton-Brock, T. H., Russell, A. F. & Sharpe, L. L. 2005. 'False feeding' and aggression in meerkat societies. *Animal Behaviour*, 69, 1273–1284.
- Clutton-Brock, T. H., Hodge, S. J., Spong, G., Russell, A. F., Jordan, N. R., Bennet, N. C., Sharpe, L. L. & Manser, M. B. 2006. Intrasexual competition and sexual selection in cooperative mammals. *Nature*, 444, 1065–1068.
- Cockburn, A. 1998. Evolution of helping behavior in cooperatively breeding birds. Annual Review of Ecology and Systematics, 29, 141–177.
- Covas, R. & Griesser, M. 2007. Life history and the evolution of family living in birds. *Proceedings of the Royal Society of London, Series B*, 274, 1349–1357.
- Dierkes, P., Taborsky, M. & Kohler, U. 1999. Reproductive parasitism of broodcare helpers in a cooperatively breeding fish. *Behavioral Ecology*, **10**, 510–515.
- Dierkes, P., Heg, D., Skubic, E., Taborsky, M. & Achmann, R. 2005. Genetic relatedness in groups is sex-specific and declines with age of helpers in a cooperatively breeding cichlid. *Ecology Letters*, **8**, 968–975.
- Duftner, N., Sefc, K. M., Kolbmüller, S., Salzburger, W., Taborsky, M. & Sturmbauer, C. 2007. Parallel evolution of facial stripe patterns in the *Neolamprologus brichardi/pulcher* species complex endemic to Lake Tanganyika. *Molecular Phylogenetics* and Evolution, 45, 706–715.
- Emlen, S. T. 1982. The evolution of helping. I. An ecological constraints model. *American Naturalist*, **119**, 29–39.
- Field, J., Cronin, A. & Bridge, C. 2006. Future fitness and helping in social queues. *Nature*, 441, 214–217.

- Gaston, A. J. 1978. Evolution of group territorial behavior and cooperative breeding. *American Naturalist*, **112**, 1091–1100.
- Grantner, A. & Taborsky, M. 1998. The metabolic rates associated with resting, and with the performance of agonistic, submissive and digging behaviours in the cichlid fish *Neolamprologus pulcher* (Pisces: Cichlidae). *Journal of Comparative Physiology B, Biochemical Systemic and Environmental Physiology*, **168**, 427–433.
- Hamilton, W. D. 1963. The evolution of altruistic behavior. American Naturalist, 97, 354–356.
- Hamilton, W. D. 1964. The genetical evolution of social behaviour I & II. *Journal of Theoretical Biology*, 7, 1–52.
- Hamilton, I. M. 2004. A commitment model of reproductive inhibition in cooperatively breeding groups. *Behavioral Ecology*, 15, 585–591.

Hamilton, I. M. & Taborsky, M. 2005. Unrelated helpers will not fully compensate for costs imposed on breeders when they pay to stay. *Proceedings of the Royal Society of London, Series B*, 272, 445–454.

- Hatchwell, B. J. & Komdeur, J. 2000. Ecological constraints, life history traits and the evolution of cooperative breeding. *Animal Behaviour*, **59**, 1079–1086.
- Heg, D., Bachar, Z., Brouwer, L. & Taborsky, M. 2004. Predation risk is an ecological constraint for helper dispersal in a cooperatively breeding cichlid. *Proceedings of the Royal Society of London, Series B*, 271, 2367–2374.
- Heg, D., Brouwer, L., Bachar, Z. & Taborsky, M. 2005. Large group size yields group stability in the cooperatively breeding cichlid *Neolamprologus pulcher*. *Behaviour*, **142**, 1615–1641.
- Heg, D., Bergmüller, R., Bonfils, D., Otti, O., Bachar, Z., Burri, R., Heckel, G. & Taborsky, M. 2006. Cichlids do not adjust reproductive skew to the availability of independent breeding options. *Behavioral Ecology*, 17, 419–429.
- Koenig, W. D. & Dickinson, J. L. 2004. Ecology and Evolution of Cooperative Breeding in Birds. Cambridge: Cambridge University Press.
- Koenig, W. D., Pitelka, F. A., Carmen, W. J., Mumme, R. L. & Stanback, M. T. 1992. The evolution of delayed dispersal in a cooperative breeder. *Quarterly Review of Biology*, 67, 111–150.
- Kokko, H. & Ekman, J. 2002. Delayed dispersal as a route to breeding: territorial inheritance, safe havens, and ecological constraints. *American Naturalist*, 160, 468–484.
- Kokko, H., Johnstone, R. A. & Clutton-Brock, T. H. 2001. The evolution of cooperative breeding through group augmentation. *Proceedings of the Royal Society of London, Series B*, 268, 187–196.
- Kokko, H., Johnstone, R. A. & Wright, J. 2002. The evolution of parental and alloparental effort in cooperatively breeding groups: when should helpers pay to stay? *Behavioral Ecology*, **13**, 291–300.
- Komdeur, J. 1992. Importance of habitat saturation and territory quality for evolution of cooperative breeding in the Seychelles warbler. *Nature*, 358, 493–495.
- Komdeur, J. 2006. Variation in individual investment strategies among social animals. *Ethology*, **112**, 729–747.
- Kutsukake, N. & Clutton-Brock, T. 2006. Aggression and submission reflects reproductive conflict between females in cooperatively breeding meerkats *Suricata suricatta*. *Behavioral Ecology* and Sociobiology, 59, 541–548.
- Lehmann, L. & Keller, L. 2006. The evolution of cooperation and altruism, a general framework and a classification of models. *Journal of Evolutionary Biology*, **19**, 1365–1376.

- Ligon, J. D. 1983. Cooperation and reciprocity in avian social systems. *American Naturalist*, **121**, 366–384.
- Russell, A. F., Brotherton, P. N. M., McIlrath, G. M., Sharpe, L. L. & Clutton-Brock, T. H. 2003. Breeding success in cooperative meerkats: effects of helper number and maternal state. *Behavioral Ecology*, 14, 486–492.
- Shreeves, G. & Field, J. 2002. Group size and direct fitness in social queues. *American Naturalist*, **159**, 81–95.
- Skubic, E., Taborsky, M., McNamara, J. M. & Houston, A. I. 2004. When to parasitize? A dynamic optimization model of reproductive strategies in a cooperative breeder. *Journal of Theoretical Biology*, 227, 487–501.
- Solomon, N. G. & French, J. A. 1997. Cooperative Breeding in Mammals. Cambridge: Cambridge University Press.
- Stacey, P. B. & Ligon, J. D. 1991. The benefits of philopatry hypothesis for the evolution of cooperative breeding: variation in territory quality and group size effects. *American Naturalist*, 137, 831–846.
- Stiver, K. A., Dierkes, P., Taborsky, M. & Balshine, S. 2004. Dispersal patterns and status change in a co-operatively breeding cichlid *Neolamprologus pulcher*: evidence from microsatellite analyses and behavioural observations. *Journal of Fish Biology*, 65, 91–105.
- Stiver, K. A., Dierkes, P., Taborsky, M., Gibbs, H. L. & Balshine, S. 2005. Relatedness and helping in fish: examining the theoretical predictions. *Proceedings of the Royal Society of London, Series B*, 272, 1593–1599.
- Taborsky, M. 1984. Broodcare helpers in the cichlid fish Lamprologus brichardi: their costs and benefits. Animal Behaviour, 32, 1236–1252.
- Taborsky, M. 1985. Breeder-helper conflict in a cichlid fish with broodcare helpers: an experimental analysis. *Behaviour*, 95, 45–75.
- Taborsky, M. 1987. Cooperative behaviour in fish: coalitions, kin groups and reciprocity. In: *Animal Societies: Theories and Facts* (Ed. by Y. Ito, J. L. Brown & J. Kikkawa), pp. 229–237. Tokyo: Japan Scientific Societies Press.
- Taborsky, M. 1994. Sneakers, satellites, and helpers: parasitic and cooperative behavior in fish reproduction. Advances in the Study of Behavior, 23, 1–100.
- Taborsky, M. & Grantner, A. 1998. Behavioural time-energy budgets of cooperatively breeding *Neolamprologus pulcher* (Pisces: Cichlidae). *Animal Behaviour*, 56, 1375–1382.
- Taborsky, M. & Limberger, D. 1981. Helpers in fish. Behavioral Ecology and Sociobiology, 8, 143–145.
- Taborsky, B., Skubic, E. & Bruintjes, R. 2007. Mothers adjust egg size to helper number in a cooperatively breeding cichlid. *Behavioral Ecology*, 18, 652–657.
- Trivers, R. L. 1971. The evolution of reciprocal altruism. *Quarterly Review of Biology*, 46, 35–57.
- Verhoeven, K. J. F., Simonsen, K. L. & McIntyre, L. M. 2005. Implementing false discovery rate control: increasing your power. *Oikos*, **108**, 643–647.
- Wiley, R. H. & Rabenold, K. N. 1984. The evolution of cooperative breeding by delayed reciprocity and queuing for favorable social positions. *Evolution*, **38**, 609–621.
- Young, A. J., Carlson, A. A. & Clutton-Brock, T. 2005. Trade-offs between extraterritorial prospecting and helping in a cooperative mammal. *Animal Behaviour*, 70, 829–837.